

Form for delivery of the client file in accordance with Art. 72 FinSA

Applicant (Counterparty)

Title: Mr Ms Company*

Company name / first name

Surname

Street

House
Number

Postcode City

*The claim for delivery of the client file for a legal entity may only be asserted by an authorised signatory on behalf of the legal entity.

Delivery of customer documentation / client file

Customer documentation shall be sent exclusively to the customer address on file with the Bank.

Customer documentation / client file

The Bank performs execution-only transactions with its own medium-term notes. The events, services and information documented in connection with the duty to document shall be documented in the client file. Pursuant to Art. 72 FinSA, the Counterparty has the right to delivery of the client file and, pursuant to Art. 16 FinSA, the right of rendering of an account. The delivery of the documentation under the various claim titles is defined below.

Rendering of account in accordance with Art. 16 FinSA

Under the head of rendering of an account, the Bank must render account to the Counterparty for the financial services agreed with and provided to him and the associated costs, including a current valuation of the medium-term notes. This documentation and information is all contained in the electronic client file, which the Counterparty may inspect online anytime via e-banking (mySavings).

As part of the online application process, the Counterparty acknowledges and expressly consents to the documentation for fulfilling the right of rendering an account pursuant to Art. 16 FinSA being made available exclusively via e-banking (mySavings) and that the Bank generally does not issue any physical documentation for this purpose.

Delivery of the client file in accordance with Art. 72 FinSA**• First-time request**

Upon a first-time request, whether under the head of the rendering of an account or the delivery of the client file, the Bank shall hand over all documentation created in the course of the business relationship free of charge in accordance with the claim for delivery within the meaning of Art. 72 FinSA.

• Follow-up requests ABSENT transactions within 12 months after the documentation has already been delivered

Follow-up requests for delivery of the client file within 12 months of delivery of the client file and in the absence of transactions such as early redemptions, new subscriptions or reinvestments having taken place are subject to a fee and shall be invoiced in accordance with the “Overview of services and prices for savings products from Cembra Money Bank AG.”

• Follow-up requests WITH transactions within 12 months after the documentation has already been delivered

Such requests are free of charge if transactions such as early redemptions, new subscriptions, roll-overs or expiry of the term have taken place.

If, however, there is any uncertainty as to the documents to be delivered, the Bank shall contact the Counterparty for clarification and then send him the documents as agreed.

Time limits

The Bank shall provide the Counterparty with a copy of the entire client file within 30 working days plus the time period required for delivery.

Note on costs

The Counterparty's attention is drawn to the fact that a processing fee may be charged for the delivery of the client file if a request for rendering of an account or for the delivery of the client file has already been submitted within the last 12 months pursuant to Art. 72 FinSA and no transactions such as new subscriptions, early redemptions, roll-overs or expired medium-term notes have taken place since then. This does not apply to requests for information under the Swiss Data Protection Act or the European General Data Protection Regulation.

By signing this Agreement, the Counterparty asserts his claim for delivery and confirms that he has been informed of any related costs.

City/date

Signature of the Counterparty